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Australian Government  
Sport Integrity Australia



SPORT INTEGRITY  
AUSTRALIA



# PARALYMPICS AUSTRALIA

## NATIONAL INTEGRITY FRAMEWORK

# Complaints, Disputes and Discipline Policy

Commencement date: 8<sup>th</sup> January 2024

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July 2025

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## 1. Introduction

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Paralympics Australia (**PA**) is committed to fostering a safe and fair place for all of its participants. To achieve this, PA has adopted the National Integrity Framework (**NIF**).

The NIF is designed to assist with creating a safe and fair sporting environment for all by setting out the rules about the types of behaviour that are unacceptable in our organisation and any activities sanctioned or organised by us. These unacceptable behaviours are defined as Prohibited Conduct. The NIF seeks to guide Relevant Persons and Relevant Organisations on what to do if they experience or witness breaches of these rules.

The NIF has been developed by Sport Integrity Australia and is made up of the following five policies:

- Safeguarding Children and Young People Policy;
- Competition Manipulation and Sport Gambling Policy;
- Improper Use of Drugs and Medicine Policy;
- Member Protection Policy; and
- Complaints, Disputes and Discipline Policy (this **Policy**)

Within the NIF, this Policy sets out the process and parameters for how allegations of Prohibited Conduct are managed and resolved.

This Policy also applies to Prohibited Conduct under any other policies stated to be subject to this Policy as adopted by the **PA** from time to time.

The NIF policies and the above referenced PA policies are collectively known as the **Relevant Policies**.

This Policy should be read and used in conjunction with the Relevant Policies.

Nothing in the NIF overrides:

- a law of the Commonwealth, or a state or territory, which take precedence and must be complied with in the first instance; or
- The constitution of PA .

This Policy subsumes the previous NIF Policy and the relevant Prohibited Conduct that was contained within that Policy.

## 2. Policy Intent

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This Policy and its procedures are designed to ensure that allegations of Prohibited Conduct are managed through an effective, consistent, and timely process, which is fair and transparent.

## 3. Definitions

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In this Policy, the following words have the corresponding meaning:

**Activity** means a sporting contest, match, competition, event, or activity (including training), whether on a one-off basis or as part of a series, league, or competition, which is sanctioned or organised by PA.

**Affiliate Organisation** means any organisation that is officially affiliated with PA, either through membership of or affiliation agreements with PA or through the administration of an Activity.

**Athlete** means a person who is registered, or entitled to participate, in an Activity.

**Alternative Dispute Resolution or ADR** is a collective term for processes, other than arbitration, such as mediation or conciliation that may be used to resolve allegations of Prohibited Conduct under this Policy.

**Appeals Tribunal** means the NST Appeals Division or other Appeals Tribunal (including an Appeals Tribunal established internally by PA) established to hear an appeal of a decision of a Hearing Tribunal.

**Board** means the board of PA.

**Breach Notice** means a written notification sent to the Respondent in accordance with clause 8.5.

**Case Categorisation Model** means the guidelines published by Sport Integrity Australia and available on its [website](#) for evaluating and prioritising allegations of Prohibited Conduct and assisting with determining an appropriate mechanism to manage a Complaint, as amended from time to time.

**Complaint** has the meaning given in clause 6.1.

**Complaint Manager** means the person appointed under clause 6.116.11 to manage Complaints under this Policy.

**Complaints Process** means the process for managing a Complaint under the guidance of this Policy from the time the Complaint is received to the Resolution Process.

**Complainant** has the meaning given in clause 6.2.

**Contractor** means any person or organisation engaged to provide services for or on behalf of PA, and includes:

- (a) agents, advisers, and subcontractors of PA; and
- (b) employees, officers, volunteers, and agents of a Contractor or subcontractor.

**Discrimination** includes both direct and indirect discrimination which have the following meaning:

- (a) 'Direct discrimination' occurs where, because a person has a Protected Characteristic, they are treated less favourably than a person without that characteristic would be treated in the same or similar circumstances.
- (b) 'Indirect discrimination' occurs where a practice, rule, requirement or condition that applies to everyone disadvantages people with a Protected Characteristic and the practice, rule, requirement or condition is not reasonable in the circumstances.

**Employee** means a person employed by PA.

**Hearing Tribunal** means the NST General Division or other first instance tribunal (including a Hearing Tribunal established internally by PA) established to conduct a hearing under this Policy.

**Intentional Misrepresentation** has the specific meaning given in the Paralympics Australia Classification Policy as amended or replaced from time to time, but refers in general to an Athlete or Athlete support personnel intentionally misleading, or attempting to mislead an International Federation or NSO/D or any of their representatives as to any aspect of Para-sport classification, whether by act or omission, or to a person who engages in any type of intentional complicity with such an act or omission.

**International Federation** means the international federation for a particular Para-sport as recognised by the International Paralympic Committee. **National Integrity Framework** or NIF means the PA NIF consisting of the five policies.

**NSO/D** means an organisation recognised by the Australian Sports Commission as the National Sporting Organisation or National Sporting Organisation for People with Disability for a particular Para-sport.

**NST** means the National Sports Tribunal established under the NST Legislation.

**NST Eligible Matter** means an alleged breach that is a kind of dispute that falls within the jurisdiction of the NST<sup>1</sup>.

**NST Legislation** means the *National Sports Tribunal Act 2019 (Cth)* (NST Act), and any legislative instruments made under the NST Act as may be in force from time to time, including the National Sports Tribunal Rule 2020 (Cth) (NST Rule), National Sports Tribunal (Practice and Procedure) Determination 2021 (Cth) and National Sports Tribunal Act 2019 - Principles for Allocating a Member to a Dispute 2020.

**Para-sport** means sport for people with impairments, where this sport is recognised as a Para-sport by the International Paralympic Committee and the authorised International Federation and is delivered by a Paralympics Australia Member Organisation.

**Participant** means:

- (a) Athletes;
- (b) coaches appointed to train an Athlete or Team in an Activity;
- (c) administrators who have a role in the administration, operation or Activity of PA including owners, directors, committee members or other persons;
- (d) officials including referees, umpires, technical officials, or other officials appointed by PA, or any league, competition, series, or Team sanctioned by PA;
- (e) support personnel who are appointed in a professional or voluntary capacity by PA, or any league, competition, series, or Team sanctioned by PA including sports science sports medicine personnel, team managers, agents, selectors, and team staff members; or
- (f) any other person who participates in or is involved in running an Activity.

**Policy** means this Complaints, Disputes and Discipline Policy.

**Prohibited Conduct** means the conduct proscribed in each of the Relevant Policies, including the conduct proscribed under clause 5 of this Policy.

**Protected Characteristic** means:

- (a) age;
- (b) disability;
- (c) race or ethnicity;
- (d) sex or gender identity;

<sup>1</sup> See NST Act sections 5(2) and 6(2) and NST Rule section 7.

- (e) sexual orientation; or
- (f) religion.

**Protected Disclosure** means, where PA is a "regulated entity" under the whistleblower laws in the *Corporations Act 2001 (Cth)*, a disclosure of information to PA that qualifies for protection under those laws.

**Provisional Action** means the process undertaken to impose a temporary measure on a Respondent while they are subject to a Complaints Process, or an investigation by law enforcement.

**Relevant Organisation** means any of the following organisations:

- (a) PA;
- (b) any organisation that participates in or is involved in the administration of an Activity (including an Affiliate Organisation), in connection with that Activity; or
- (c) any other organisation that has agreed to be bound by the Relevant Policies, to the extent that they have agreed to be bound.

**Relevant Person** means any of the following persons:

- (a) Participant;
- (b) Employee;
- (c) Contractor;
- (d) Volunteer; or
- (e) any other individual who has agreed to be bound by the Relevant Policies.

**Relevant Policies** means the following PA policies:

- (a) Safeguarding Children and Young People Policy;
- (b) Competition Manipulation and Sport Gambling Policy;
- (c) Improper Use of Drugs and Medicine Policy;
- (d) Member Protection Policy; and
- (e) Complaints, Disputes and Discipline Policy;
- (f) any other by-laws, rules, regulations or policies of PA that are stated to be subject to this Policy.

**Report** has the meaning given in clause 6.56.5.

**Reporter** has the meaning given in clause 6.66.6.

**Resolution Process** means the process from the point at which a Breach Notice has been issued to a Respondent.

**Respondent** has the meaning given in clause 6.3.

**Sanction** means the disciplinary action(s) taken against a Respondent for breaching a Relevant Policy.

**Team** means a collection or squad of Athletes, registered with or supervised by PA or entitled to participate in an Activity.

**Volunteer** means any person engaged by PA or an Affiliate Organisation (to the extent of their involvement in an Activity only) in any capacity who is not otherwise an Employee or Contractor, including directors and office holders, coaches, officials, administrators and team and support personnel.

**Vulnerable Person** means a person who is:

- (a) under the age of 18;
- (b) aged 18 or over but is or may be unable to take care of themselves or is unable to protect themselves against harm or exploitation, by reason of age, illness, trauma or disability, or any other reason; or

- (c) aged 18 or over but has experienced or is experiencing poor mental health outcomes, either as a result of the incident in question, due to their life experiences, or as a result of societal factors, including but not limited to individuals from diverse backgrounds facing disproportionate mental health impacts, such as people with diverse sexualities or gender.

## 4. Jurisdiction

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### 4.1 When this Policy applies

- (a) This Policy applies to Prohibited Conduct under each of the Relevant Policies.
- (b) Where an allegation of Prohibited Conduct may be dealt with under either the Relevant Policies or the policies of an Affiliate Organisation, the policies of the Affiliate Organisation will apply to the exclusion of the Relevant Policies unless there is a compelling reason why the Relevant Policies should apply.

### 4.2 Who the Relevant Policies apply to

- (a) The Relevant Policies apply to and bind:
  - i. all Relevant Persons and Relevant Organisations; and
  - ii. any person who, or organisation that:
    - A. has had a Complaint or Report made against them; and
    - B. was bound by the Relevant Policies at the time of the alleged Prohibited Conduct, even if they are no longer a Relevant Person or Relevant Organisation.
- (b) Employees are expected to abide by the terms of the Relevant Policies as a reasonable and lawful direction of PA as their employer.
- (c) A Relevant Organisation must ensure that all Contractors and Volunteers are bound to abide by the terms of the Relevant Policies when participating in an Activity.
- (d) By participating in an Activity, a Participant is deemed to have agreed to be bound by the Relevant Policies.
- (e) Any person or organisation who has had a Complaint made about them and was bound by the Relevant Policies at the time of the alleged behaviour continues to be bound by the Relevant Policies until the Complaint is finalised and any sanction has been complied with.

### 4.3 Recognition of Affiliate Organisation sanctions

Where an individual or organisation has been sanctioned under the policies of an Affiliate Organisation for conduct which would constitute Prohibited Conduct under a Relevant Policy, PA will recognise that sanction and will honour any period of suspension (including lifetime bans) or conditions on participation in relation to that individual or organisation's involvement in Activities as relevant and applicable.

### 4.4 What happens when there are conflicting provisions?

- (a) Laws of the Commonwealth, or a state or territory, take precedence and must be complied with in the first instance.
- (b) Where conduct may constitute Prohibited Conduct but is a Protected Disclosure with respect to PA it must be dealt with under PA's whistleblower policy (if applicable).
- (c) The Australian National Anti-Doping Policy (**ANADP**) or any other applicable World Anti-Doping Code compliant anti-doping policy (**ADP**) will prevail to the extent of any inconsistency with the Relevant Policies in all instances. Any allegation relating to a breach or possible breach of the ANADP or ADP will be dealt with under that policy.
- (d) Nothing in the Relevant Policies prevents the Relevant Organisation from referring any alleged Prohibited Conduct or criminal conduct to a relevant law enforcement agency.



- (e) The Relevant Policies are subject to PA's constitution (or other governing rules as applicable) and if there is any inconsistency, the constitution will prevail.
- (f) In respect of Intentional Misrepresentation, applicable policies and procedures of the International Paralympic Committee will take precedence over this Policy to the extent of any inconsistency.

## 5. Prohibited Conduct

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### 5.1 What is Prohibited Conduct under this Policy?

- (a) Subject to clause 5.1(b), in addition to the conduct proscribed under each of the Relevant Policies, a Relevant Person or a Relevant Organisation will breach this Policy if they:
  - i. knowingly fail to report any conduct which is reasonably likely to be Prohibited Conduct, to Sport Integrity Australia or the PA in accordance with clause 6.7, as soon as reasonably practicable after they become aware of it without reasonable cause;
  - ii. deliberately or wilfully withhold information in relation to any conduct which is reasonably likely to be Prohibited Conduct;
  - iii. fail to provide further information or documentation as requested during the Complaint Process without reasonable cause;
  - iv. fail to comply with a Breach Notice;
  - v. knowingly provide any inaccurate and/or misleading information during the course of any Complaint Process under this Policy; or
  - vi. fail to comply with obligations under this Policy to keep information confidential.
- (b) A Relevant Person or Relevant Organisation will not be deemed to have breached this Policy if they fail to answer a question or provide information on the grounds that doing so would be a breach of any applicable law.
- (c) PA may initiate disciplinary action in accordance with this Policy if it becomes aware of a potential breach of clause 5.1(a) of this Policy.

## 6. Making a Complaint or Report

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### 6.1 What is a Complaint?

- (a) A Complaint is a formal written submission of an allegation:
  - i. made by a Complainant (who cannot make the Complaint anonymously); and
  - ii. relating to Prohibited Conduct under a Relevant Policy; and
  - iii. against a Respondent.
- (b) A Complaint is not:
  - i. a personal grievance; or
  - ii. an issue related to employment, governance, eligibility and/or selection disputes; or
  - iii. an issue related to competition-related rules.

### 6.2 Who is a Complainant?

- (a) A Complainant is a person or an organisation who or which is directly affected by the alleged Prohibited Conduct and makes a Complaint about a Respondent in accordance with this Policy.
- (b) Where the person directly affected by the conduct is a Vulnerable Person, a Complaint may be submitted on their behalf by a parent or carer. The Vulnerable Person will still be considered to be the Complainant when a Complaint is submitted on their behalf.

- (c) A Complainant cannot be anonymous.

### 6.3 Who is a Respondent?

- (a) A Respondent is a Relevant Person or Relevant Organisation about whom a Complaint or Report has been made and who was bound by the Relevant Policy/ies at the time the alleged Prohibited Conduct occurred.

### 6.4 Vulnerable Persons and support persons

- (a) Where required, the parent or carer of a Vulnerable Person who is a party to a Complaint may support the Vulnerable Person and/or act on their behalf if necessary through the Complaints Process and any subsequent Resolution Process. For example, at any interview, ADR process, or Hearing Tribunal or Appeals Tribunal.
- (b) Sport Integrity Australia and PA may have regard to the guide entitled “Complaint Handling Guide: Upholding the rights of children and young people” issued by the National Office for Child Safety in managing Complaints made on behalf of or involving Vulnerable Persons, currently available [here](#), or such other guide that may replace it.
- (c) A party to a Complaint may request that they be assisted by a support person or authorised representative. This request will generally be granted unless there is a specific reason to deny it (for example, where a nominated support person or authorised representative is also a witness to the allegations or is actively hindering the interview process). Reporters and witnesses may be permitted to be assisted by a support person or authorised representative where this is considered appropriate.

### 6.5 What is a Report?

- (a) A Report is a submission of allegations that a Respondent has engaged in conduct which may be Prohibited Conduct which does not meet the definition of a Complaint.
- (b) Reports received by PA or Sport Integrity Australia may be recorded for information purposes only with no further action taken. The process for managing a Report will be at the discretion of the Complaints Manager or Sport Integrity Australia. In some circumstances, Reports may be managed through the Complaints Process. Reporters will not be contacted regarding their Report unless further information is required.
- (c) Factors that may be taken into account in determining whether to progress a Report through the Complaints Process include (but are not limited to) the seriousness of the alleged conduct, the availability of evidence that could be relied upon in an investigation, whether a person or organisation has been directly affected by the alleged Prohibited Conduct and if so their circumstances and preferences, the perceived risk to the sport, and whether there have been other Reports relating to similar allegations.
- (d) A Report may be made anonymously, however this may limit the action that can be taken in relation to the allegations.
- (e) Where multiple Reports relate to the same or related alleged conduct by the same Respondent, they may be combined for the purposes of the Complaints Process.
- (f) If a Report is to be progressed through the Complaints Process, any reference to a Complaint throughout this Policy will apply to the Report.

### 6.6 Who is a Reporter?

- (a) A Reporter is any person or organisation, including a Relevant Person or Relevant Organisation, who or which has reason to believe that Prohibited Conduct may have occurred and makes a Report. A Reporter may be anonymous.

- (b) A Reporter is not entitled to the same rights throughout the Complaints Process as a Complainant. For example, a Reporter may not be kept informed of any decisions made in relation to the Report or participate in any ADR.

### 6.7 Responsibility for Managing Complaints or Reports

- (a) A Complaint or Report may be submitted to Sport Integrity Australia where it relates to alleged Prohibited Conduct under the Safeguarding Children and Young People Policy or allegations of Discrimination under the Member Protection Policy.
- (b) Complaints relating to matters such as personal grievances, issues related to employment, governance, eligibility and selection disputes, and competition-related rules will not be managed by Sport Integrity Australia.
- (c) A Complaint or Report may be submitted to PA where it relates to any alleged Prohibited Conduct.
- (d) A Complaint or Report may also be submitted to PA under this policy where it relates to Intentional Misrepresentation under the policies of a Para-sport, unless the International Federation for the Para-sport has jurisdiction over the matter in accordance with the PA Classification Policy.
- (e) Sport Integrity Australia will keep records of information in accordance with the *Archives Act 1983*. PA will keep records of information in accordance with its own policies and procedures.

### 6.8 Submitting a Complaint or Report

- (a) A Complaint or Report should be submitted to Sport Integrity Australia or PA under clause 6.7(c) in accordance with clause 6.7.
- (b) A Complaint must be made in writing (including electronically). A Complaint or Report to PA can be made via email - [integrity@paralympic.org.au](mailto:integrity@paralympic.org.au).
- (c) A Report can be made in writing (including electronically) or verbally.
- (d) The online web form on the Sport Integrity Australia website is the preferred method for submitting a Complaint or Report to Sport Integrity Australia.

### 6.9 Withdrawing a Complaint

- (a) A Complaint can be withdrawn at any time. Withdrawing a Complaint must be done in writing (including electronically) to the organisation that it was submitted to.
- (b) Where a Complaint has been withdrawn, the organisation managing the Complaint may choose to continue to progress the matter through the Complaints Process.

### 6.10 Confidentiality

- (a) All Complaints and Reports will be kept in confidence.
- (b) Any Relevant Organisation or Sport Integrity Australia may disclose information as required or authorised by law.
- (c) Subject to this clause, decisions around appropriate disclosure of information will be addressed on a case-by-case basis. Disclosure of information to parties not directly affected by the alleged behaviour may be restricted.
- (d) PA will, on request from Sport Integrity Australia, provide information to Sport Integrity Australia which it requires to undertake the Complaints Process.

### 6.11 Appointment of Complaint Manager

- (a) PA will appoint a Complaint Manager, who will be responsible for managing PA's obligations under this Policy.

## 6.12 Failure to cooperate

- (a) Subject to clause 6.12(c), Relevant Persons should cooperate fully with any Complaints Process or Resolution Process they are involved in. A failure to do so may be Prohibited Conduct under clause 5.1(a) of this Policy.
- (b) If a Respondent fails or refuses to respond, after a request has been made in a reasonable time in advance, to answer any relevant question, provide relevant documentation, and/or participate in a Complaints Process or Resolution Process, Sport Integrity Australia, PA, a Hearing Tribunal or an Appeals Tribunal (as applicable) may make findings based on the available information.
- (c) No individual or organisation bound by this Policy is required to answer a question or provide information where to do so would be a breach of any applicable law.

## 6.13 Management of Complaints and Reports relating to Intentional Misrepresentation

- (a) Where PA receives a Complaint or Report in relation to Intentional Misrepresentation in a Para-sport:
  - i. the Complaint or Report will be managed in accordance with the procedures of this Policy, and the alleged conduct will be treated as a potential breach of PA's Competition Manipulation and Sports Gambling Policy as well as of the equivalent policy of the relevant Para-sport;
  - ii. PA may refer the Complaint or Report to an International Federation or the International Paralympic Committee, where it is jurisdictionally appropriate to do so in accordance with PA's Classification Policy; and
  - iii. any Provisional Action or Sanction imposed by PA under this Policy must be notified to the Respondent by the relevant NSO/D, and recognised and enforced by the NSO/D under its policies.

# 7. The Complaints Process

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## 7.1 Evaluation

- (a) Upon receipt of a Complaint, the organisation which receives the Complaint will determine whether the matter is a Complaint and whether it falls within the scope of the Relevant Policies. In making this determination the organisation will consider whether the conduct alleged in the Complaint would, if proven to the requisite standard, constitute Prohibited Conduct, as well as whether it otherwise meets the requirements of clause 6. The organisation will also make a determination in accordance with clause 6.7. as to which organisation should manage the Complaint.
- (b) Where a Complaint has the potential to be managed by either Sport Integrity Australia or PA, these organisations may consult on who is best placed to manage the Complaint. Where a Complaint has the potential to be managed under the policies of an Affiliate Organisation, the Complainant will be referred to the Affiliate Organisation unless there is a compelling reason for the Complaint to be managed under this Policy.
- (c) Where a Complaint is determined to be out of scope under the Relevant Policies, it may be managed under an alternative policy of PA or an Affiliate Organisation (if applicable).
- (d) Mischievous or vexatious claims will not be accepted or managed under this Policy.
- (e) A Complaint that has been previously managed through a complaints process will not be reconsidered or reinvestigated unless there are compelling reasons to do so, such as relevant new information becoming available.
- (f) Where a Complaint raises allegations about behaviour that could be a breach of both a Relevant Policy or another policy of PA, the matter will be managed under the most appropriate policy. Where conduct may constitute Prohibited Conduct but is a Protected Disclosure with respect to PA, it must be dealt with under PA's whistleblower policy (if applicable).
- (g) If the Complaint is determined to be out of scope of the Relevant Policies, the organisation which received the Complaint will notify the Complainant and no further action will be taken under this Policy.
- (h) If a person considers that a child is at risk of immediate harm the matter must be reported to the relevant law enforcement/child protection agency as soon as possible.

## 7.2 Case Categorisation Model: In-scope matters

- (a) Once a Complaint has been deemed to be in-scope, it will undergo Case Categorisation to determine an appropriate means of dealing with the Complaint. Complaints are categorised with reference to the nature of the alleged conduct, the possible level of harm and complexity of the issues raised in the Complaint (refer to Case Categorisation Model).
- (b) Following Case Categorisation, any one or a combination of the following actions may be undertaken:
  - i. External referral;
  - ii. Referral to a Hearing Tribunal;
  - iii. Provisional Action;
  - iv. Investigation;
  - v. ADR;
  - vi. Case closure.
- (c) For Complaints that have been categorised as Category 1, the Complaint may be closed in accordance with clause 8.10(a) with no findings being made or sanction imposed. A Respondent may be reminded of their obligations under the Relevant Policies or recommended to undergo education or training.
- (d) PA may refer a Complaint directly to a Hearing Tribunal to hear the allegations and make findings about the Complaint instead of proceeding to an investigation.

## 7.3 External referral

- (a) At any time during the Complaints Process, allegations may be referred to a relevant external organisation if it will assist the organisation to perform or exercise any of the functions, duties or powers. This may include referral to a law enforcement agency, government or regulatory authority or child protection agency. If a person considers that a child is at risk of immediate harm the matter must be reported to the relevant law enforcement/child protection agency as soon as possible.
- (b) If an external referral is made, the Complaints Process may be suspended pending external resolution to avoid any potential compromise to the external process.

## 7.4 Provisional Action

- (a) Where an allegation suggests a risk of harm to a Participant which justifies imposing Provisional Action, the PA will determine whether any Provisional Action will be taken to mitigate any potential harm to any person and/or interference in an investigation.
- (b) Provisional Action may only be taken by Relevant Organisations and not by Sport Integrity Australia. For matters managed by Sport Integrity Australia, Sport Integrity Australia will advise PA as soon as practicable of any possible risk of harm to Participants identified, including sufficient details of the matter to enable PA to determine whether to impose any Provisional Action. In cases of Intentional Misrepresentation that have been referred to PA, if PA determines that Provisional Action should be taken, PA will advise the NSO/D that administers the relevant Para-sport of the Provisional Action, and that NSO/D will then be responsible for notifying the Respondent and enforcing these restrictions.
- (c) Provisional Action may include suspension, supervision, restriction of duties or temporary re-deployment, or suspension or restriction of rights, privileges or benefits.
- (d) If a decision is made to impose Provisional Action, a Respondent may seek to have that decision reviewed by a Hearing Tribunal. The Hearing Tribunal will only consider whether the decision to impose the Provisional Action is proportionate to the perceived risk of harm and will not consider the merits of the Complaint except as is necessary to assess proportionality.

## 7.5 Investigation

- (a) The organisation responsible for managing the Complaint may conduct an investigation to obtain additional evidence, including by way of formal interview and collection of additional information, to determine if the alleged Prohibited Conduct is a breach of a Relevant Policy.

- (b) In conducting an investigation, the rules of procedural fairness will apply, including by providing both the Complainant and the Respondent with a reasonable opportunity to be heard.
- (c) Where a Respondent has been convicted or found guilty in a criminal, disciplinary or professional proceeding of engaging in conduct which would constitute Prohibited Conduct under a Relevant Policy, the Respondent will be deemed under this Policy to have committed Prohibited Conduct without requiring further investigation, or any other process.
- (d) Following an investigation, the Complaint will be managed in accordance with clause 8, or if being managed by the PA may be referred directly to a Hearing Tribunal.

## 7.6 Standard of proof

- (a) The standard of proof that applies to all substantive decisions (including by a Hearing Tribunal) made under this Policy in respect of allegations of Prohibited Conduct is "balance of probabilities". This means the decision-maker must be satisfied that it is more likely than not that there has been a breach of a Relevant Policy.

## 7.7 Alternative Dispute Resolution

- (a) The Complainant and the Respondent may agree to an ADR. The Complaints Process may be suspended while ADR is pursued. The Complaints Process may be discontinued if both parties are satisfied that the matter has been resolved.
- (b) This process will be coordinated by the Complaint Manager, if required.
- (c) If a Complaint relates to an NST Eligible Matter, PA may refer the Complaint to mediation, conciliation or case appraisal in the NST General Division.

# 8. Findings and Resolution Process

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## 8.1 Findings

- (a) Unless the matter has been referred directly to a Hearing Tribunal under clause 7.5(d), following an investigation, the organisation managing the Complaint will determine whether, to the requisite standard of proof, the allegation of Prohibited Conduct is substantiated, unsubstantiated or unable to be substantiated.
- (b) In cases where Sport Integrity Australia investigates the Complaint, Sport Integrity Australia will notify the parties and PA of the findings, and if the allegation of Prohibited Conduct is substantiated, refer to PA to manage the Resolution Process described in clause 8.2.
- (c) In cases where PA manages the Complaint, PA will notify the parties of the findings, and if the allegation of Prohibited Conduct is substantiated, will manage the Resolution Process described in clause 8.2.

## 8.2 The Resolution Process

- (a) PA must implement an appropriate Resolution Process.
- (b) With the exception of Intentional Misrepresentation, PA is ultimately responsible for issuing a Breach Notice to the Respondent and applying and administering Sanctions and other related measures as it sees fit. In cases of Intentional Misrepresentation that have been referred to PA, PA will notify the NSO/D, who is responsible for issuing the Breach Notice to the Respondent and administering Sanctions. PA may refer to Sport Integrity Australia's [Case Categorisation Model](#) for this purpose.
- (c) Where a Respondent admits the alleged breach and accepts the Sanction, or fails to respond to the Breach Notice within the time prescribed within the Breach Notice, the PA Complaint Manager may impose the Sanction and proceed to finalise the Complaint.

### 8.3 Notification to parties

PA will communicate as appropriate with the Respondent, Complainant and any Affiliate Organisation or other Relevant Organisation involved in the matter throughout the Resolution Process and will notify both the Complainant and the Respondent of the outcome and finalisation of the matter at the conclusion of the Resolution Process. In cases of Intentional Misrepresentation that have been referred to PA, the NSO/D is responsible for communication with the Respondent.

### 8.4 Appropriate Sanctions

- (a) PA may impose one or more Sanctions on a Respondent where this is considered appropriate. In cases of Intentional Misrepresentation that have been referred to PA, PA will advise the NSO/D of the Sanction that PA has imposed, and the NSO/D will be responsible for imposing and enforcing this Sanction on the Respondent under its own policies.
- (b) In making a determination under clause 8.4(a) PA may refer to the [Case Categorisation Model](#), taking into account:
  - i. the seriousness of the behaviour;
  - ii. whether it was a one-off incident or part of an overall pattern of behaviour;
  - iii. whether it was an honest and reasonable mistake;
  - iv. the potential impact on public confidence in the integrity of the sport;
  - v. the potential impact of the proposed Sanction on the Respondent;
  - vi. the views and opinion of the Complainant; and
  - vii. any other relevant aggravating or mitigating factors.

### 8.5 Breach Notice

- (a) Subject to clause 8.5(b), if the allegations are found to be substantiated, PA will issue a Breach Notice. Any Breach Notice issued by PA to a Respondent will:
  - i. notify the Respondent of the allegations found to be substantiated, including the alleged conduct;
  - ii. state the proposed Sanction, if any, for the substantiated allegations;
  - iii. state that the Respondent has a right to a hearing in relation to the allegations found to be substantiated and/or the proposed Sanction;
  - iv. state that the Respondent may accept the findings, waive their right to a hearing and accept the proposed Sanction;
  - v. state that if the Respondent does not respond in writing within 14 days of the date of the Breach Notice, they will be deemed to have accepted the findings, waived their right to a hearing and accepted the proposed Sanction, unless otherwise agreed by PA;
  - vi. state that any response to the Breach Notice must be made to PA, and provide contact details of the Complaint Manager; and
  - vii. be provided to the Respondent, and (if applicable) Relevant Organisation.
- (b) In cases of Intentional Misrepresentation, the relevant NSO/D will be responsible for issuing the Breach Notice. NSO/D Breach Notices must comply with the conditions outlined in clause 8.5(a).
- (c) In response to a Breach Notice, a Respondent may:
  - i. accept the findings, waive their right to a hearing and accept the proposed Sanction; or
  - ii. dispute the findings and/or the proposed Sanction, in which case the matter will be referred to a Hearing Tribunal under this Policy.
- (d) Unless otherwise agreed by PA, a Respondent has 14 days from the date of the Breach Notice to notify the Complaint Manager, or in cases of Intentional Misrepresentation, the NSO/D, in writing of their decision.



- (e) Notice given under paragraph 8.5(c)ii must be:
  - i. given in writing (whether by email or other means);
  - ii. sent to the PA Complaint Manager, or in cases of Intentional Misrepresentation, the NSO/D, at the address given on the Breach Notice; and
  - iii. received within 14 days from the date of the Breach Notice.
- (f) If PA, or in cases of Intentional Misrepresentation, the NSO/D, does not receive notice under clause 8.5(c)ii within 14 days from the date of the Breach Notice, the Respondent will be deemed to have waived their right to appeal.
- (g) In cases of Intentional Misrepresentation, the NSO/D must immediately inform the PA Complaints Manager of any response received from the Respondent in relation to the Breach Notice, or otherwise after 14 days have elapsed and the Respondent is deemed to have waived their right to appeal. This can be done via email to [integrity@paralympic.org.au](mailto:integrity@paralympic.org.au).

### 8.6 Referral to a Hearing Tribunal

- (a) If the Respondent disputes the substantiated allegations and/or the proposed Sanction in the Breach Notice, the Complaint Manager must refer the matter to a Hearing Tribunal.
- (b) If the matter is an NST Eligible Matter, the substantiated allegations and proposed Sanction may be referred by PA to the NST General Division for arbitration. PA is responsible for making the application to the NST, subject to payment by the Respondent of the upfront NST application fee. Payment of the NST service fees will be as agreed by the parties or managed in accordance with the NST Legislation.
- (c) If the matter is not referred to the NST, it will otherwise be referred to a Hearing Tribunal convened internally by PA.
- (d) In matters involving Intentional Misrepresentation, the NSO/D may also be invited to participate as a party to the Hearing Tribunal.

### 8.7 Hearing Tribunals

- (a) Arrangements must be established to manage internal hearings and appeals.
- (b) If arbitration is sought in either the NST General Division or an internal Hearing Tribunal, the Hearing Tribunal will, as applicable:
  - i. determine whether any Provisional Action imposed in accordance with clause 7.4 is disproportionate; or
  - ii. if referred directly to the Hearing Tribunal under clause 7.2, make the findings required by clause 8.1, and determine whether a Sanction should be imposed and if so, the nature of that Sanction; or
  - iii. if referred to a Hearing under clause 8.6 following an investigation, arbitrate the substantiated allegations and proposed Sanction set out in the Breach Notice.

### 8.8 Appeals

- (a) A decision of a Hearing Tribunal in respect of:
  - i. Provisional Action, is not subject to appeal;
  - ii. allegations referred directly to a Hearing Tribunal for a finding, is subject to appeal; and
  - iii. a substantiated allegations finding and/or Sanction, is subject to appeal.
- (b) Appeals from the NST General Division must be referred to the NST Appeals Division. Appeals from Internal Hearing Tribunals may be referred to the NST or to another Appeals Tribunal. Appeals from a PA Hearing Tribunal may be referred to the NST Appeals Division. Payment of the NST fees will be as agreed by the parties or managed in accordance with the NST Legislation.
- (c) Grounds of appeal:



- i. decision of a Hearing Tribunal can only be appealed by the Respondent, PA or in the cases of IM, the NSO, on the basis that:
  - A. the Hearing Tribunal failed to abide by this Policy or to properly apply the relevant Policy and such failure resulted in a denial of natural justice; and/or
  - B. no reasonable decision maker in the position of the Hearing Tribunal, based on the material before them, could reasonably make such a decision.

## 8.9 Implementation

- (a) PA will ensure that appropriate Sanctions (or other alternative actions) are undertaken, and that the Relevant Policies are implemented and applied. PA is responsible for ensuring that Relevant Persons and Relevant Organisations are aware of the Relevant Policies and facilitate an understanding for how they apply to them. This may include relevant educational material that Sport Integrity Australia provides from time to time.
- (b) PA will determine if a Sanction should be publicly disclosed in order to give it full effect. This may be necessary for suspension or cancellation of membership or accreditation, or to ensure that Affiliate Organisations are aware of Sanctions imposed on their members.
- (c) Notwithstanding clause 8.9(a), ignorance of the Relevant Policies is not a defence, excuse or justification for Prohibited Conduct and will not be considered a mitigating circumstance.

## 8.10 Case closure

- (a) Complaints may be closed under this Policy at any of the following times:
  - i. the Complaint is evaluated as being out of scope of this Policy under clause 7.1;
  - ii. the Complaint is categorised as a Category 1 Complaint in accordance with clause 7.2 and the Case Categorisation Model, and no further action is being taken;
  - iii. during investigation of the Complaint, it becomes apparent that the Complaint no longer meets the eligibility requirements set out in clause 6 (for example, the Respondent is discovered not to have been bound by the Relevant Policies at the time the alleged conduct occurred due to information obtained during the investigation);
  - iv. the Complaint is resolved through ADR in accordance with clause 7.7, or the Complaint was sought to be resolved through ADR but it was not resolved and the participants are in agreement that the Complaint may be closed;
  - v. following investigation, all allegations are found to be either unsubstantiated or unable to be substantiated in accordance with clause 8.1;
  - vi. following investigation, the Respondent accepts or is deemed to have accepted the findings and any Sanction imposed upon them in accordance with clause 8.5; or
  - vii. the matter is finalised before a Hearing Tribunal or Appeals Tribunal.
- (b) Once a matter has been closed in accordance with this clause, it has been finalised and no further action will be taken in relation to the matter under this Policy. There is no further right to appeal once a matter has been closed.
- (c) PA will retain appropriate records of the Complaint and any outcomes in accordance with any relevant policies or procedures relating to record-keeping.
- (d) For Complaints managed by Sport Integrity Australia, PA will notify Sport Integrity Australia when the matter is closed and of any Sanctions imposed on the Respondent.
- (e) For Intentional Misrepresentation Complaints under PA's jurisdiction, if the Respondent does not waive their right to a Hearing Tribunal in accordance with clause 8.5, PA will inform the NSO/D when the matter is closed and Sanctions are to be imposed on the Respondent.

## 9. Interpretation and Other Matters

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### 9.1 Application and commencement

- (a) The Relevant Policies are approved by the PA Board.
- (b) The Relevant Policies:
  - i. commence on the date outlined on the front cover (Commencement Date);
  - ii. are subject to PA's constitution (or other governing rules as applicable), and if there is any inconsistency, the constitution will prevail; and
  - iii. when in force, are binding on all Relevant Persons and Relevant Organisations.
- (c) Nothing in the NIF limits the rights or obligations of any person under any other PA policy, or other relevant agreement.
- (d) The NIF does not override or limit the application of any laws of Australia or a state/territory.
- (e) The 'Policy Intent' section (if applicable) at the start of each Relevant Policy is not intended to be and should not be construed in any way as a complete and comprehensive overview of that Relevant Policy. To the extent of any inconsistency, the operative provisions of that Relevant Policy prevail.

### 9.2 Amendment

In accordance with PA's constitution (or other governing rules as applicable), the Board may amend the Relevant Policies as required, including to incorporate amendments notified to the PA by Sport Integrity Australia from time to time. Such amendments will be effective on the date specified by the Board.

### 9.3 Interpretation

- (a) The following rules of interpretation apply to each Relevant Policy:
  - i. Headings are for convenience only and shall not be deemed part of the substance of the document or to affect in any way the language of the provisions to which they refer.
  - ii. Words in the singular include the plural and vice versa.
  - iii. Reference to 'including' and similar words are not words of limitation.
  - iv. Words importing a gender include any other gender.
  - v. A reference to a clause is a reference to a clause or subclause of the Relevant Policy in which it appears.
  - vi. Where a word or phrase is given a particular meaning, other parts of speech and grammatical forms of that word or phrase have corresponding meanings.
  - vii. If any provision of a Relevant Policy is determined invalid or unenforceable, the remaining provisions shall not be affected, and the document shall not fail because any part of it is held invalid.
  - viii. Except as otherwise stated herein, failure to exercise or enforce any right conferred by this Framework shall not be deemed to be a waiver of any such right nor operate to bar the exercise or enforcement thereof or of any other right on any other occasion.
  - ix. Defined terms are Capitalised and consistent across the Relevant Policies.
- (b) Any failure to give any notice or follow any process under any Relevant Policy does not invalidate such notice or process and does not give rise to an appeal in respect to the notice or process.

### 9.4 Education

- (a) To assist Participants to understand this Policy and their rights and responsibilities, PA is responsible for developing and implementing an education plan addressing the content and subject matter of this Policy.
- (b) PA should engage Sport Integrity Australia to assist in the design, implementation and maintenance of the education plan and to determine priority education groups and appropriate interventions.

- (c) PA may, from time to time, direct certain Participants to undertake education, which will be relevant and proportionate to their level of participation in Para-sport and the associated integrity risks.
- (d) Sport Integrity Australia has developed a range of education resources and training material to support the NIF which can be found [here](#). PA has no responsibility for these resources.